

CFTC Rule Filings

Date of Filing	Entity	Description	Filing Type	CFTC Filing
12/8/14	NYMEX	Delisting contract months beyond December 2014 for the NY Harbor ULSD vs. Gasoil Futures contract	DCM	14-471
12/8/14	NYMEX	Delisting three (3) electricity option contracts	DCM	14-435 APPENDIX B
12/5/14	CBOT	Modifications to Market Maker Program for Electronic Treasury Options	DCM	14-508
12/5/14	CBOT	Implementation of new CBOT Rule 589 ("Special Price Fluctuation Limits")	DCM	14-532 APPENDIX C
12/5/14	CBOT	Implementation of special price fluctuation limit rules for 13 Interest Rate futures and 10 Interest Rate options contracts	DCM	14-531
12/5/14	CME	Implementation of new CME Rule 589 ("Special Price Fluctuation Limits")	DCM	14-530 APPENDIX C
12/5/14	CME	Implementation of special price fluctuation limit rules for 59 foreign exchange ("FX") futures and 24 FX options contracts	DCM	14-529
12/5/14	NYMEX	Implementation of new NYMEX Rule 589 ("Special Price Fluctuation Limits")	DCM	14-528 APPENDIX C
12/5/14	NYMEX	Implementation of special price fluctuation limit rules for 4 metals futures and options contracts	DCM	14-527
12/5/14	COMEX	Implementation of new COMEX Rule 589 ("Special Price Fluctuation Limits")	DCM	14-526 APPENDIX C
12/5/14	COMEX	Implementation of special price fluctuation limit rules for 9 metals futures and 7 metals options contracts	DCM	14-525
12/5/14	NYMEX	REVISED LISTING: Seven (7) electricity option contracts for trading on CME Globex and the NYMEX trading floor as well as for submission for clearing through CME ClearPort	DCM	14-457R (1) 14-457R (2) 14-457R (3) 14-457R (4) 14-457R (5) 14-457R (6) 14-457R (7) APPENDIX B
12/5/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of November 24, 2014.	DCM	14-539 Exhibit A
12/5/14	CME CLEARING	Notification of rule amendments made effective during the week of November 24, 2014.	DCO	14-538
12/4/14	CME	Amendments to Chapter 8H of CME Rulebook	DCO	14-540

12/3/14	NYMEX	Expansion of contract month listing schedule for Indonesian Coal (McCloskey sub-bituminous) Futures contract	DCM	14-450
12/3/14	CBOT	Modifications to Market Maker Program for Long-Term U.S. Treasury Bond Futures	DCM	14-501
12/3/14	CME	Extension of the CME OTC FX Fee Waiver Program	DCO	14-523
12/2/14	CME/CBOT	Modifications to CME/CBOT Interest Rate Options Give Up Incentive Program	DCM	14-519 (1) 14-519 (2)
12/2/14	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-519S
12/2/14	NYMEX	Extension of NYMEX Capped Give-Up Incentive Program.	DCM	14-520
12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-520S
12/2/14	NYMEX	REVISED LISTING: New iron ore futures contract	DCM	14-470R APPENDIX B
12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-470S
12/2/14	NYMEX	Modifications to Brent Crude Oil Options Fee Waiver Program	DCM	14-521
12/2/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-521S
12/1/14	CME	Modifications to Eurodollar Options Market Maker Program	DCM	14-504
12/1/14	CBOT	Modifications to Fed Fund Options Floor Broker Pool Program	DCM	14-505
12/1/14	CME	Request for determination of non-materiality for amendments to affidavits required for delivery of heifers and for adoption of protocols corresponding to affidavits in connection with Live Cattle Futures contract	DCM	14-495
11/28/14	CME	Modifications to the CME FX Premium Quoted Options Market Maker Program	DCM	14-516
11/26/14	CME	Notification of product terms and conditions amendments made effective during the week of November 17, 2014.	DCM	14-513
11/26/14	COMEX	Notification of product terms and conditions amendments made effective during the week of November 17, 2014	DCM	14-514 EXHIBIT B
11/26/14	CME	Modifications to the CME Commodity Trading Advisors/Hedge Fund Incentive Program	DCM	14-515
11/26/14	CME/CBOT	Establishment of block trade minimum thresholds in	DCM	14-486 (1)

11/25/14	CME	Modifications to CME Futures and Options Tier Aggregation	DCM	14-510
11/25/14	CBOT	Modifications to CBOT Market Maker Program for Deferred 30-Day Federal Fund Futures	DCM	14-499
11/24/14	CME/CBOT/NYMEX/COMEX	Modifications to Emerging Markets Bank Incentive Program	DCM	14-498 (1) 14-498 (2) 14-498 (3) 14-498 (4)
11/26/14	CME/CBOT/NYMEX/COMEX	Modifications to the Latin America Commodity Commercial Incentive Program.	DCM	14-497 (1) 14-497 (2) 14-497 (3) 14-497 (4)
11/26/14	CME/CBOT/NYMEX/COMEX	Modifications to the Latin America Fund Manager Incentive Program	DCM	14-496 (1) 14-496 (2) 14-496 (3) 14-496 (4)
11/26/14	NYMEX	Amendments to listed fees for three (3) electricity option contracts	DCM	14-500
11/21/14	CME/CBOT	Modifications to the Agricultural Options Market Maker Program.	DCM	14-488 (1) 14-488 (2)
11/21/14	CME	Acceptance of iTraxx for clearing	DCO	14-491 EXHIBIT 1
11/21/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-491S
11/21/14	CME CLEARING	Weekly Notification of Rule Amendments - Week of November 10, 2014	DCO	14-493
11/21/14	COMEX	Weekly Notification of Product Terms and Conditions Amendments - Week of November 10, 2014	DCM	14-494
11/21/14	CBOT	Weekly Notification of Product Terms and Conditions Amendments - Week of November 10, 2014	DCM	14-490
11/20/14	CME	Modifications to fee schedule that applies to over-the-counter ("OTC") Credit Default Swaps ("CDS")	DCO	14-441
11/20/14	CME	Changes to CDS Product Terms for Acceptance of iTraxx	DCO	14-492 EXHIBIT 1
11/20/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in eleven (11) new NYMEX products concurrent with the launch	DCM	14-482 (1) 14-482 (2)
11/20/14	NYMEX	LISTING: Three (3) new cash-settled crude oil futures contracts	DCM	14-467 (1) 14-467 (2) 14-467 (3) APPENDIX B
11/20/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-467S
11/20/14	NYMEX	Implementation of the NYMEX Iron Ore Incentive	DCM	14-465

11/19/14	NYMEX	REVISED: Amendments to Thirty-Four (34) Electricity Futures and Option Contracts	DCM	14-403R APPENDIX C
11/17/14	NYMEX/COMEX	REVISED: Amendments to metals physically delivered futures rules.	DCM	14-454RR (1) 14-454RR (2) APPENDIX A
11/17/14	NYMEX/COMEX	REVISED: Increase in Position Limits for Five (5) Copper Contracts	DCM	14-474R (2) APPENDIX A
11/17/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-474S
11/17/14	CME	REVISED LISTING: US Dollar/Chilean Peso (USD/CLP) Futures	DCM	14-465R APPENDIX C
11/17/14	CME/CBOT/NYMEX/COMEX	Adoption of Rule 771 ("Alternative Notice of Intention to Deliver")	DCM/DCO	14-478 (1) 14-478 (2) 14-478 (3) 14-478 (4) 14-478 (5) EXHIBIT B
11/17/14	CBOT	Weekly Notification of Product Terms and Conditions Amendments - Week of November 3, 2014	DCM	14-480
11/17/14	CME	Amendments to the position limits and position accountability of CME's USD Malaysian Crude Palm Oil Calendar Swap (Cleared Only)	DCO	14-434 APPENDIX A
11/13/14	CME CLEARING	Weekly Notification of Rule Amendments - Week of November 3, 2014	DCO	14-479
11/12/14	CME	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	14-451
11/12/14	CBOT	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	14-452
11/12/14	NYMEX/COMEX	Amendments to Rulebook Chapter 7 and introduction of new Rule 719 ("Initial Regularity for Delivery against a New Futures Contract")	DCM	14-453 (1) 14-453 (2) APPENDIX A
11/10/14	NYMEX	DELISTING: Certain Contract Months for Seven (7) Low Sulphur Based Futures and Options Contracts	DCM	14-423
11/7/14	CME CLEARING	Notification of Rule Amendments Week of October 27, 2014	DCO	14-472
11/6/14	CME	Notification of Rule Amendments Week of October 27, 2014	DCM	14-468
11/6/14	NYMEX	LISTING: Crude Oil Mid-Curve Option Contract	DCM	14-255 APPENDIX C
11/6/14	NYMEX	LISTING: Canadian Heavy Crude Oil (Net Energy) BALMO Futures Contract	DCM	14-432 APPENDIX B
11/6/14	NYMEX	Amendments to Four (4) Electricity Futures Contracts	DCM	14-121 APPENDIX E

11/6/14	CME	DELISTING: Certain Contract Months for Urea (Prilled) FOB Yuzhny Swaps (Clearing Only) Contract	DCM	14-427
10/31/14	CME	LISTING: USD Malaysian Palm Olein Calendar Swap (Cleared Only) for clearing.	DCO	14-254 Appendices: 1-C , 1-D , 1-E , 1-F , 1-G
10/31/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-254S
10/31/14	CME	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	14-459
10/31/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	14-461
10/30/14	NYMEX	Decrease in spot month position limits for Palladium futures and option contracts.	DCM	14-463 APPENDIX A
10/30/14	CME	Proposed changes to add limited recourse and portfolio gain haircut settlement cycle ("Base Haircuts") to financial safeguards package for Base	DCO	14-462
10/30/14	CBOT	Notification of product terms and conditions amendments made effective during the week of October 20, 2014.	DCM	14-460
10/30/14	CME/NYMEX	Deletion of Rules 990 ("Special Capital Provisions for Clearing Members Guaranteeing and Clearing OTC Contracts Executed by NYMEX Floor Members") and 992 ("Participation by NYMEX Floor Members in Special Program for Over-the-Counter Trading with FCM Guarantee")	DCM	14-456 (1) 14-456 (2)
10/30/14	CME CLEARING	Notification of rule amendments made effective during the week of October 20, 2014.	DCO	14-458
10/29/14	CME/CBOT/NYMEX	REVISED: Adoption of rules for liquidity risk management.	DCO	13-565ARR
10/27/14	CME	Modification to manner in which daily settlement prices are determined in CME Live Cattle, CME Feeder Cattle, and CME Lean Hog futures	DCM	14-430
10/27/14	CBOT	LISTING: Treasury Invoice Swaps	DCM	14-455 (1) 14-455 (2) 14-455 (3) 14-455 (4) 14-455 (5) APPENDIX 2
10/24/14	CBOT	Amendments to support the trading of CBOT Treasury Invoice Swap Spreads on CME Globex.	DCM	14-421
10/23/14	CME	Enhancements to the CDS Risk Model	DCO	14-448
10/8/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-448S
		Amendments to CME Rule 813 ("Daily Settlement		

10/16/14	NYMEX	Amendments to contract size of Iron Ore 62% Fe, CFR North China (Platts) Futures and Iron Ore 62% Fe, CFR North China (Platts) Average Price Option contracts	DCM	14-332R APPENDIX D
10/17/14	NYMEX/COMEX	Modifications to Globex Core Metals Volume Incentive Program	DCM	14-440 (1) 14-440 (2)
10/17/14	CME	Modifications to fee schedule applicable to over-the-counter ("OTC") Interest Rate Swaps ("IRS")	DCO	14-442
10/17/14	CME	Amendments to listing cycle in Urea (Prilled) FOB Yuzhny Swaps (Clearing Only) contract	DCM	14-419
10/17/14	CME CLEARING	Notification of rule amendments made effective during the week of October 6, 2014	DCO	14-443
10/17/14	COMEX	Notification of product terms and conditions amendments made effective during the week of October 6, 2014	DCM	14-439 EXHIBIT B
10/17/14	CBOT	Notification of product terms and conditions amendments made effective during the week of October 6, 2014	DCM	14-438
10/15/14	CME/CBOT/NYMEX/COMEX	Modifications to the Central Bank Incentive Program	DCM	14-433 (1) 14-433 (2) 14-433 (3) 14-433 (4)
10/10/14	CBOT	Revised 2015 Soybean Meal Futures and Soybean Oil Futures delivery differential adjustments	DCM	14-407
10/10/14	CME CLEARING	Notification of rule amendments made effective during the week of September 29, 2014.	DCO	14-429
10/9/14	COMEX	Notification of product terms and conditions amendments made effective during the week of September 29, 2014.	DCM	14-428 EXHIBIT B
10/9/14	CME	Amendments of the Profercy reference price used in the Urea (Granular) FOB US Gulf Coast Swaps (Clearing Only) contract	DCO	14-411
10/9/14	CME	Amendments to CME Globex electronic trading hours for seven (7) CME livestock futures and options contracts	DCM	14-408
10/8/14	NYMEX	Modifications to Natural Gas Options Liquidity Provider Program	DCM	14-425
10/8/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-425S
10/6/14	CBOT	DELISTING: Previously listed contract months beyond December 2014 for Dow Jones Industrial Average Index Futures (\$25 Multiplier) contract	DCM	14-386
10/6/14	CME	DELISTING: Four (4) stock index futures and options on futures contracts	DCM	14-385 APPENDIX B
10/6/14	COMEX	DELISTING: Short-Term Gold Option contract	DCM	14-358 APPENDIX B

10/2/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in five (5) new products concurrent with launch	DCM	14-413 (1 of 2) 14-413 (2 of 2)
10/1/14	CME	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCO	14-422 (1 of 4)
10/1/14	CBOT	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (2 of 4)
10/1/14	NYMEX	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (3 of 4)
10/1/14	COMEX	Revisions to Rule 816 ("Guaranty Fund Deposit")	DCM	14-422 (4 of 4)
10/1/14	NYMEX	LISTING: Physically-delivered Illinois Basin Coal Futures contract	DCM	14-420 APPENDIX B
10/1/14	CME	Amendments to spot month and all months position limits for the RUB/USD futures and options contracts	DCM	14-418 APPENDIX A
10/1/14	CBOT	Changes to CME Globex Trade Matching Algorithms for futures spread transactions for seven (7) CBOT Interest Rate futures contracts	DCM	14-406 APPENDIX A
10/1/14	CME	Amendments to seven (7) Cleared OTC Spot, Forwards and Swaps contracts	DCM	14-409
9/30/14	CME/CBOT	Establishment of Basis Trade at Index Close ("BTIC") block trades at a block minimum threshold of 50 contracts in USD Denominated Ibovespa futures	DCM	14-414 (1 of 2) 14-414 (2 of 2)
9/30/14	CME	Reduction of USD Denominated Ibovespa futures minimum price fluctuation and amendments to CME Rulebook Chapter 354 to permit Basis Trade at Index Close ("BTIC") block trades for the USD Denominated Ibovespa futures contract.	CME	14-361
9/30/14	NYMEX	Expansion of contract month listing for U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index futures contract	NYMEX	14-369
9/29/14	CME	DELISTING three (3) S&P GSCI Commodity Index contracts.	DCM	14-360 (2 of 2) APPENDIX A
9/29/14	CME	DELISTING three (3) S&P GSCI Commodity Index contracts.	DCM	14-360 (1 of 2) APPENDIX A
9/29/14	CME	DELISTING ten (10) Live Cattle Weekly Options and Lean Hog CSO contracts.	DCM	14-359 APPENDIX A APPENDIX B
9/26/14	CME	REVISED: Limited exemption from CME limits on the value of letters of credit clearing members are eligible to deposit on behalf of qualifying customers in satisfaction of the clearing members' core performance bond requirements.	DCO	14-393R
9/26/14	CME CLEARING	Notification of rule amendments made effective during the week of September 15, 2014.	DCO	14-412
9/26/14	COMEX	Notification of product terms and conditions amendments made effective during the week of	DCM	14-410

9/24/14	COMEX	Revisions to Rule 850 ("Fees")	DCM	14-102 (4 of 5)
9/24/14	CME CLEARING	Revisions to Rule 850 ("Fees")	DCO	14-102 (5 of 5)
9/22/14	CME	Delisting Chinese Renminbi/Japanese Yen (RMB/JPY) Cross Rate Futures, Options on RMB/JPY Cross Rate Futures and the Weekly Options on RMB/JPY Cross Rate Futures, effective immediately.	DCM	14-372 APPENDIX A
9/19/14	CME	REVISED: Changes to CDS product definitions to incorporate the ISDA 2014 Credit Derivatives Definitions.	DCM	14-307R EXHIBIT 1
9/19/14	NYMEX	Modifications to the Agency Cross Fee Schedule.	DCM	14-389 (1 of 2) EXHIBIT 1
9/19/14	COMEX	Modifications to the Agency Cross Fee Schedule.	DCM	14-389 (2 of 2) EXHIBIT 1
9/19/14	CME	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (1 of 4)
9/19/14	CBOT	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (2 of 4)
9/19/14	NYMEX	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (3 of 4)
9/19/14	COMEX	Addition of two (2) NYMEX products to the list of Agency Cross eligible products and the issuance of Market Regulation Advisory Notice RA1406-5.	DCM	14-401 (4 of 4)
9/19/14	CME	Amendments to CME ClearPort hours for twenty (20) commodity index cleared OTC swap contracts	DCM	14-382
9/19/14	COMEX	Notification of product terms and conditions amendments made effective during the week of September 8, 2014.	DCM	14-402 EXHIBIT A
9/19/14	CME CLEARING	Notification of rule amendments made effective during the week of September 8, 2014.	DCO	14-404
9/19/14	CME	Acceptance of CDX North American Investment Grade Index Series 23 ("CDX IG 23") and CDX North American High Yield Index Series 23 ("CDX HY 23") for clearing.	DCO	14-405
9/18/14	CBOT	Changes to CME Globex Trade Matching Algorithms for futures and spread transactions for seven (7) CBOT Interest Rate futures contracts.	DCM	14-348 APPENDIX A
9/17/14	CME	REVISED: Changes to the CDS Risk Model	DCO	14-291R
9/16/14	NYMEX	Implementation of the Natural Gas Options Liquidity Provider Program	DCM	14-399
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-399S

9/16/14	NYMEX	Modifications to the Energy Options Market Maker Program.	DCM	14-396
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-396S
9/16/14	NYMEX	Modifications to the NYMEX Capped Give-Up Incentive Program.	DCM	14-397
9/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-397S
9/16/14	CME	Modifications to the Agricultural Options Market Maker Program.	DCM	14-398 (1 of 2)
9/16/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-398S
9/16/14	CBOT	Modifications to the Agricultural Options Market Maker Program.	DCM	14-398 (2 of 2)
9/16/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-398S
9/15/14	NYMEX	Modifications to the Non-Core Crude and Refined Resting Volume Incentive Program.	DCM	14-395
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-395S
9/15/14	NYMEX	Modifications to the Non-Core Crude and Refined Liquidity Provider Program.	DCM	14-394
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-394S
9/15/14	NYMEX	Modifications to the Brent Futures and Inter-Commodity Spread Market Maker Program.	DCM	14-391
9/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-391S
9/15/14	COMEX	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (4 of 4)
9/15/14	NYMEX	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (3 of 4)
9/15/14	CBOT	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (2 of 4)
9/15/14	CME	Adoption of Rule 575 ("Disruptive Practices Prohibited") and Issuance of CME Group Market Regulation Advisory Notice RA1405-5	DCM	14-367R (1 of 4)
9/11/14	CME	Amendments to Rule 279.H02.A ("Day of Cash Settlement") of Chapter 279H – Cleared OTC U.S. Dollar/Indian Rupee (USD/INR) Spot, Forwards and	DCM	14-388

9/10/14	NYMEX	Amendments to Rule 400 ("General Provisions")	DCM	14-354 (3 of 4)
9/10/14	COMEX	Amendments to Rule 400 ("General Provisions")	DCM	14-354 (4 of 4)
9/10/14	COMEX	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (4 of 4)
9/10/14	NYMEX	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (3 of 4)
9/10/14	CBOT	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (2 of 4)
9/10/14	CME	REVISED: Amendments to Rule 623 ("Right to Counsel") to disallow certain persons from representing parties to an arbitration claim and elimination of two legacy NYMEX Board resolutions concerning arbitration	DCM	14-356R (1 of 4)
9/9/14	CME SDR	Modifications to the CME SDR Fee Schedule.	SDR	14-043
9/9/14	CME	Request for approval to amend Exchange rules governing daily price limits for four (4) CME dairy products. Proposed effective date is Sunday, November 2, 2014 for trade day Monday, November 3, 2014.	DCM	14-314
9/9/14	CME	REVISED: Implementation of the Bundle Futures Market Maker Program.	DCM	14-374R
9/9/14	CME	REVISED: SUPPLEMENTAL FILING UNDER FOIA	DCM	14-374RS
9/5/14	CME	Changes to CDS product definitions to incorporate the ISDA 2014 Credit Derivatives Definitions.	DCO	14-307
9/5/14	CME	Establishment of a block trade minimum threshold in 2-Yr, 3-Yr and 5-Yr Eurodollar Futures and Options and Issuance of CME/CBOT MRAN RA1405-3.	DCM	14-365 (1 of 2)
9/5/14	CME	LISTING: Self-certification of terms and conditions for Two-Year Bundle futures, Three-Year Bundle futures, and Five-Year Bundle futures, and companion options for each of these three futures products	DCM	14-217 (1 of 12) APPENDIX G.1
9/5/14	CBOT	Modifications to the contract months and listing cycles for Corn, Soybeans, Wheat, and KC HRW Wheat Options on Short-Dated New Crop Futures	DCM	14-350
		Establishment of a block trade minimum threshold		

9/5/14	CBOT	Notification of product terms and conditions amendments made effective during the week of August 25, 2014.	DCM	14-379
9/5/14	COMEX	Notification of product terms and conditions amendments made effective during the week of August 25, 2014	DCM	14-378 EXHIBIT A
9/5/14	NYMEX	Notification of product terms and conditions amendments made effective during the week of August 25, 2014	DCM	14-377 EXHIBIT A
9/5/14	CME CLEARING	Notification of rule amendments made effective during the week of August 25, 2014.	DCO	14-380
9/4/14	CBOT	Change to CME Globex match algorithm for four (4) CBOT and two (2) CME Stock Index contracts	DCM	14-241 (2 of 2) APPENDIX A
9/4/14	CME	Change to CME Globex match algorithm for four (4) CBOT and two (2) CME Stock Index contracts	DCM	14-241 (1 of 2) APPENDIX A
9/4/14	CBOT	Change to CME Globex match algorithm for futures and futures spread transactions on Kansas City Hard Red Winter Wheat (KC HRW Wheat) futures contracts	DCM	14-270 APPENDIX A
9/4/14	NYMEX	Amendments to Rule 712.05 ("Prices and Fluctuations") of European Gasoil (100mt) Bullet Futures contract	DCM	14-311
9/4/14	CME	Amendments to the minimum fluctuation for Options on NASDAQ 100 Index futures (CME Rule 357A01.C.) and Options on E-mini NASDAQ 100 Index futures (CME Rule 359A01.C.)	DCM	14-347
9/4/14	CME	Acceptance of swaptions for clearing, effective the earlier of November 3, 2014 or the receipt of regulatory approval.	DCO	14-327
9/4/14	CME	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-327S
9/4/14	NYMEX	REVISED: Rule amendments in connection with the initial listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331RR (2 of 2) APPENDIX B
9/4/14	NYMEX	REVISED: Listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331RR (1 of 2) APPENDIX B
9/3/14	NYMEX	Revisions to Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of Chapter 5 to add diminishing balances to two (2) energy products.	DCM	14-373 EXHIBIT A
8/29/14	COMEX	Notification of product terms and conditions amendments made effective during the week of August 18, 2014.	DCM	14-371 EXHIBIT C
8/29/14	CME CLEARING	Notification of rule amendments made effective during the week of August 18, 2014.	DCO	14-370
8/29/14	NYMEX	Notification of rule amendments made effective during the week of August 18, 2014.	DCM	14-357
8/29/14	COMEX	Notification of product terms and conditions amendments made effective during the week of August 18, 2014.	DCM	14-355 (1 of 1)

8/28/14	COMEX	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (4 of 4)
8/28/14	NYMEX	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (3 of 4)
8/28/14	CBOT	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (2 of 4)
8/28/14	CME	Revisions to Rule 812 to disallow settlement pay/collect adjustments resulting from changes to a final settlement price after 10 business days from publication of the final settlement price.	DCM	14-352 (1 of 4)
8/28/14	COMEX	Amendments to Rule 107101.7 ("Contract Specifications") of Chapter 107 ("Aluminum Futures") to provide additional transparency and clarity for insurance coverage of registered (warranted) aluminum	DCM	14-325
8/22/14	NYMEX	REVISED: Rule amendments in connection with the initial listing of the Natural Gas Weekly Financial Option contract.	DCM	14-331R (2 of 2) APPENDIX B
8/22/14	NYMEX	REVISED: Listing of Natural Gas Weekly Financial Option contract.	DCM	14-331R (1 of 2) APPENDIX B
8/22/14	CME CLEARING	Notification of rule amendments made effective during the week of August 11, 2014.	DCO	14-362
8/21/14	CBOT	Amendments to the month end settlement price procedure documents for U.S. Equity Index futures contracts	DCM	14-218 (2 of 2)
8/21/14	CME	Amendments to the month end settlement price procedure documents for U.S. Equity Index futures contracts	DCM	14-218 (1 of 2)
8/21/14	COMEX	Establishment of a block trade minimum threshold in the Natural Gas Weekly Financial options contract and the issuance of MRAN RA1407-4.	DCM	14-351 (2 of 2)
8/21/14	NYMEX	Establishment of a block trade minimum threshold in the Natural Gas Weekly Financial options contract and the issuance of MRAN RA1407-4.	DCM	14-351 (1 of 2)
8/21/14	CME	Listing of European-style weekly and monthly options on the Australian Dollar/U.S. Dollar (AUD/USD) futures contract and listing of European-style weekly options on the Canadian Dollar/U.S. Dollar (CAD/USD), British Pound Sterling/U.S. Dollar (GBP/USD), Euro/U.S. Dollar (EUR/USD), Japanese Yen/U.S. Dollar (JPY/USD), and Swiss Franc/U.S. Dollar (CHF/USD) futures contracts.	DCM	14-260
8/20/14	NYMEX	Modifications to the listed fees for certain international non-core petroleum futures products	DCM	14-353 EXHIBIT 1
		Implementation of the Copper Futures Commercial		

8/15/14	CME CLEARING	Notification of rule amendments made effective during the week of August 4, 2014.	DCO	14-349
8/15/14	COMEX	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	14-339
8/15/14	CBOT	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	14-338
8/15/14	CME	Notification of product terms and conditions amendments that were made effective during the week of August 4, 2014.	DCM	14-337
8/15/14	COMEX	Modifications to CME Ibovespa Index USD-Denominated Futures Market Maker Program.	DCM	14-342
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-342S
8/15/14	COMEX	Modifications to Globex Core Metals Volume Incentive Program.	DCM	14-335 (2 of 2)
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-335S (2 of 2)
8/15/14	NYMEX	Modifications to Globex Core Metals Volume Incentive Program.	DCM	14-335 (1 of 2)
8/15/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-335S (1 of 2)
8/15/14	NYMEX	Proposed implementation of European and Asian Petroleum Products High Performer Program.	DCM	14-345
8/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-345S
8/15/14	NYMEX	Modifications to European and Asian Petroleum Products Gateway Incentive Program	DCM	14-344
8/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-344S
8/14/14	CME	Modifications to Nikkei 225 Yen-Based Market Maker Program.	DCM	14-340
8/14/14	NYMEX	Modifications to Core Globex Energy Tiers Incentive Program.	DCM	14-333
8/14/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-333S
8/14/14	NYMEX	Modifications to Bi-Venue Energy Tiers Incentive Program.	DCM	14-341
8/14/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-341S
		Modifications to Brent Crude Oil Options Fee Waiver		

8/12/14	NYMEX	Modifications to NYMEX LN & OS Options Market Maker Program	DCM	14-259
8/12/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-259S
8/12/14	CBOT	REVISED: Amendments to position limits for Expiring U.S. Treasury Bond Futures, Short-Term Treasury Note (2-Year) Futures , and Long-Term U.S. Treasury (Ultra) Futures	DCM	14-313R APPENDIX A
8/12/14	CME	REVISED: Revisions to rules governing the day of trading termination for Live Cattle Options in the January Bi-Monthly Listing Cycle	DCM	14-281R
8/12/14	NYMEX	REVISED: Revisions to Position Limit, Position Accountability and Reportable Level Table located in the Interpretations and Special Notices Section of Chapter 5 of the NYMEX Rulebook comprised of corrections and administrative changes reflecting the accurate terms of the contracts	DCM	14-257R EXHIBIT A
8/11/14	NYMEX	Delisting of the Australian Coking Coal (Argus) Low Vol Futures Contract	DCM	14-300 (2 of 2) APPENDIX B
8/11/14	NYMEX	Delisting of the Australian Coking Coal (Platts) Futures Contract	DCM	14-300 (1 of 2) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts Gas Daily/ Platts IFERC) Index Futures Contract	DCM	14-283 (3 of 3) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts IFERC) Basis Futures Contract	DCM	14-283 (2 of 3) APPENDIX A
8/11/14	NYMEX	Delisting Texas Gas, Zone SL Natural Gas (Platts Gas Daily) Swing Futures Contract	DCM	14-283 (1 of 3) APPENDIX A
8/8/14	COMEX	Establishment of a block trade minimum threshold in one (1) new NYMEX product and issuance of NYMEX/COMEX Market regulation Advisory Notice RA1406-4	DCM	14-326 (2 of 2)
8/8/14	NYMEX	Establishment of a block trade minimum threshold in one (1) new NYMEX product and issuance of NYMEX/COMEX Market regulation Advisory Notice RA1406-4	DCM	14-326 (1 of 2)
8/8/14	NYMEX	Listing of a Dry Bulk Freight Futures Contract – Capesize 2014 Timecharter Average (Baltic) Futures Contract	DCM	14-263 (2 of 2) APPENDIX B
8/8/14	NYMEX	Listing of a Dry Bulk Freight Futures Contract – Capesize 2014 Timecharter Average (Baltic) Futures Contract	DCM	14-263 (1 of 2) APPENDIX B
8/8/14	CME	REVISED: Amendments to the delivery procedures for the Live Cattle futures contract (Rulebook Chapter 101, clearing and trading floor code: LC; CME Globex code: LE; CME ClearPort code: 48)	DCM	14-272R
8/8/14	NYMEX	REVISED: Amendments to the Floating Price Rule for two (2) European Refined Product futures and Listing Schedule for three (3) European Refined	DCM	14-278R

		concerning pre-execution communications		
8/7/14	CME	Revisions to CME/CBOT Rule 539 and issuance of Market Regulation Advisory Notice RA1404-5 concerning pre-execution communications	DCM	14-290 (1 of 4)
8/6/14	COMEX	Notification of Product Amendments made effective during the week of July 28, 2014	DCM	14-324
8/6/14	NYMEX	Notification of Product Amendments made effective during the week of July 28, 2014	DCM	14-323
8/5/14	NYMEX	NYMEX Henry Hub Back Month Liquidity Provider Program CFTC Submission No. 1408-0512-1145	DCM	14-282
8/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	DCM	14-282S
8/5/14	CME/CBOT/NYMEX	Clarifying amendments to Rule 802.H CFTC Submission No. 1408-0511-4214-86	DCO	14-277
8/4/14	COMEX	Revisions to Rule 854 CFTC Submission No. 1408-0419-1806-47	DCM	14-310 (4 of 4)
8/4/14	NYMEX	Revisions to Rule 854 CFTC Submission No. 1408-0419-1806-48	DCM	14-310 (3 of 4)
8/4/14	CBOT	Revisions to Rule 854 CFTC Submission No. 1408-0419-0730-09	DCM	14-310 (2 of 4)
8/4/14	CME	Revisions to Rule 854 CFTC Submission No. 1408-0419-0136-72	DCM	14-310 (1 of 4)
8/4/14	COMEX	Revisions to Rule 984 CFTC Submission No. 1408-0418-3604-81	DCM	14-309 (2 of 2)
8/4/14	NYMEX	Revisions to Rule 984 CFTC Submission No. 1408-0418-2652-60	DCM	14-309 (1 of 2)
8/4/14	CME	Delisting flexible options on Class 3 Milk, Class 4 Milk, Butter, Live Cattle, Feeder Cattle, Lean Hogs, and Random Length Lumber CFTC Submission No. 1408-0415-5758-56	DCM	14-268
8/1/14	NYMEX	Weekly notification of Rule Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-2844-43	DCM	14-322 EXHIBIT A
8/1/14	CBOT	Weekly notification of Product Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-2138-13	DCM	14-321
8/1/14	CBOT	Weekly notification of Rule Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-0538-14	DCM	14-320
8/1/14	CME	Weekly notification of Product Amendments made effective during the week of July 21, 2014 CFTC Submission No. 14-322 is: 1408-0118-4940-07	DCM	14-319
8/1/14	CME	Weekly notification of Rule Amendments made effective during the week of July 21, 2014	DCM	14-318

8/1/14	COMEX	Issuance of NYMEX/COMEX Market Regulation Advisory Notice RA1405-4 concerning block trades CFTC Submission No. 1408-0115-4417-18	DCM	14-315 (2 of 2)
8/1/14	NYMEX	Issuance of NYMEX/COMEX Market Regulation Advisory Notice RA1405-4 concerning block trades CFTC Submission No. 1408-0115-3553-23	DCM	14-315 (1 of 2)
8/1/14	COMEX	Removal of contrary instructions for Copper Options and other administrative amendments to the product rulebook chapter CFTC Submission No. 1408-0113-2534-58	DCM	14-244
8/1/14	NYMEX	REVISED: Modifications to NYMEX Henry Hub Financial Market Making Program. Electronic Portal Number: 1407-2119-0708-22-1	DCM	14-297R
7/31/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for one (1) petroleum futures contract CFTC Submission No. 1407-3123-0402-81	DCM	14-306R (2 of 2) APPENDIX B
7/31/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for one (1) petroleum futures contract CFTC Submission No. 1407-2517-4815-23-1	DCM	14-306R (1 of 2) APPENDIX B
7/31/14	CME	REVISED: Notification of Product Amendments made effective during the week of July 14, 2014 CFTC Submission No. 1407-2419-4317-85-1	DCM	14-301R EXHIBIT C
7/31/14	CME	REVISED: Notification of Rule Amendments made effective during the week of July 14, 2014 CFTC Submission No. 1407-3122-1338-48	DCM	14-316
7/30/14	NYMEX	REVISED: Amendments to CME Globex minimum tick size for three (3) natural gas contracts.	DCM	14-274RR
7/28/14	NYMEX	Delisting of the NY Harbor ULSD vs. Low Sulphur Gasoil (1,000mt) Futures contract.	DCM	14-284 APPENDIX B
7/28/14	CME	Delisting of four (4) interest rate futures and option contracts, effective immediately.	DCM	14-271 APPENDIX B
7/25/14	CME CLEARING	Notification of rule amendments made effective during the week of July 14, 2014.	DCO	14-305
7/24/14	CBOT	Notification of Product Rule Amendments made effective during the week of July 14, 2014	DCM	14-302 EXHIBIT C
7/18/14	NYMEX	REVISED: Amendments to the contract quantity and value rule for three (3) petroleum futures contracts.	DCM	14-249R EXHIBIT B
7/23/14	NYMEX	REVISED: Amendments to the termination of trading rules for ninety-eight (98) electricity futures contracts.	DCM	14-232R
7/23/14	CME/CBOT/NYMEX/ COMEX	REVISED: Amendments to CME/CBOT/NYMEX rules 814 (Settlement Variation) and 901 (General Requirements and Obligations), to become effective on August 4, 2014.	DCO	14-280R
7/22/14	COMEX	Amendments to increase position limits for four (4) Copper contracts. Electronic Portal Number: 1407-2216-3253-80		14-299 APPENDIX A
7/22/14	COMEX	Weekly Notification of Organizational Rules and Rule Amendments made effective during the week of July		14-285

7/21/14	CBOT	Modifications to NYMEX Henry Hub Financial Trader Incentive Program. Electronic Portal Number: 1407-2119-2608-55		14-298
7/21/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA		14-298S
7/21/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-297S
7/18/14	NYMEX	Revisions to contract month listing of six (6) Brent futures and options contracts.		14-250
7/18/14	CME/CBOT	Amendments to CME and CBOT Equity Index Futures Contracts.		14-285
7/18/14	CME DCO	Notification of Rule Amendments for the week of July 7, 2014 Electronic Portal Number: 1407-1816-4454-71		14-292
7/18/14	CME/CBOT/NYMEX/COMEX	REVISED: Amendments to rules for liquidity risk management. Please note, 13-565A amends Submission Nos. 13-565 (Adoption of New Rules for Liquidity Risk Management) Electronic Portal Number: 1407-1811-0804-70		13-565AR
7/18/14	CME SEF	Amendments to SEF Rule 803 to reflect recent expiration of CFTC No-Action Relief Electronic Portal Number: 1407-1810-1400-80		14-273
7/18/14	NYMEX	Weekly Notification of Product-Related Amendments to Rules made during the week of July 7, 2014 Electronic Portal Number: 1407-2120-5140-52		14-294
7/17/14	CME	Notification of rule amendments made effective during the week of July 7, 2014 Electronic Portal Number: 1407-1718-2339-86		14-286
7/17/14	CME	Rule amendments to the delivery procedures for the Live Cattle futures contract (Rulebook Chapter 101, clearing and trading floor code: LC; CME Globex code: LE; CME ClearPort code: 48), proposed to be effective on Tuesday, September 2, 2014 Electronic Portal Number: 1407-1718-1255-62		14-272
7/17/14	CME	Revisions to Live Cattle Rules to expand daily price limits in the expiring month contract during the final two (2) trading days Electronic Portal Number: 1407-1716-1620-58		14-109
7/10/14	CME CLEARING	Notification of rule amendments made effective during the week of June 30, 2014.		14-276
7/9/14	COMEX	Notification of rule amendments that were made effective during the week of June 30, 2014.		14-275 EXHIBIT C
7/3/14	CME CLEARING	Notification of rule amendments made effective during the week of June 23, 2014.		14-269
7/2/14	COMEX	Notification of rule amendments that were made effective during the week of June 23, 2014.		14-267

		ClearPort.		
7/1/14	NYMEX	REVISED: Listing of Crude Oil Weekly Option and Natural Gas Weekly Option contracts for submission for clearing through CME ClearPort.		14-228R
6/27/14	CME/CBOT	Modifications to the Agricultural Futures Resting Order Program.		14-258
6/27/14	CBOT	Modifications to the Euro Deliverable Swap Future Market Making Program.		14-256
6/27/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA		14-256S
6/27/14	CME CLEARING	Notification of rule amendments made effective during the week of June 16, 2014.		14-261
6/27/14	CBOT	REVISED: Amendments to the Oat Futures contract.		14-248R
6/26/14	CME	Amendments to the delivery specifications and procedures for the Live Cattle futures contract.		14-208
6/26/14	CME/CBOT/NYMEX/COMEX	Revision to Market Regulation Advisory Notice RA1311-5R ("RA1311-5R") concerning the appropriate method of entering execution time for EFRPs into CME ClearPort.		14-251
6/26/14	NYMEX	Notification of rule amendments that were made effective during the week of June 16, 2014.		14-253
6/26/14	NYMEX	Expansion of the contract month listing schedule of twenty-six (26) Asia BALMO futures contracts which are listed for trading on the NYMEX trading floor, CME Globex electronic trading platform and for submission for clearing through CME ClearPort.		14-148
6/23/14	CME	Delisting of twelve (12) 10-Year Sovereign Yield Spread Futures contracts [CME Rulebook Chapter 502 ("10-Year Sovereign Yield Spread Futures")].		14-236 APPENDIX B
6/20/14	CME CLEARING	Notification of rule amendments made effective during the week of June 9, 2014.		14-252
6/19/14	CME	Supplemental: Contains information regarding addition of CME Globex trading and CME ClearPort Clearing venues to Soybean Board Crush Spread Options Contract.		14-183S
6/19/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of June 9, 2014.		14-246
6/18/14	CME/CBOT/NYMEX/COMEX	REVISED: Amendments to Rule 300.D. ("Disqualification from Certain Committees and Governing Boards").		14-247R
6/17/14	CBOT	Weekly notification of rule amendments that were made effective during the week of June 9, 2014.		14-245
6/16/14	CME	Modifications to CME's OTC IRS Fee Schedule		14-239

6/16/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA		14-223S
6/13/14	CME/CBOT	Product title amendments for two DJ-UBS Commodity Index futures, and three DJ-UBS Commodity Index Cleared Swaps to reflect a change in the index calculation agent from DJ to Bloomberg.		14-243 APPENDIX B
6/13/14	CME	Self-certification of a CME Clearing Advisory Notice concerning performance bond collateral categorization changes.		14-240
6/13/14	CME CLEARING	Notification of rule amendments made effective during the week of June 2, 2014.		14-242
6/13/14	CME	Addition of Clarifying Language to CME Rulebook Chapters for Annual, Semi-Annual, and Quarterly Great British Pound/U.S. Dollar Realized Variance Futures, Japanese Yen/U.S. Dollar Realized Variance Futures, Australian Dollar/U.S. Dollar Realized Variance Futures, and Euro/U.S. Dollar Realized Variance Futures Contracts.		14-178
6/11/14	NYMEX	Implementation of the Core Globex Energy Tiers Incentive Program.		14-222
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-222S
6/11/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of June 2, 2014.		14-238
6/11/14	CBOT	Weekly notification of rule amendments that were made effective during the week of June 2, 2014.		14-237
6/11/14	NYMEX	REVISED: Implementation of the NYMEX Electronic Growth Transition Program.		14-221R
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-221SR
6/11/14	NYMEX	Modifications to the Cross-Venue Energy Products Incentive Program for High Volume Contributors.		14-194
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-194S
6/11/14	NYMEX	Modifications to the NYMEX Crude and Refined Futures Market Maker Program.		14-224
6/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-224S
6/10/14	CME	Modifications to the Weather Futures Market Maker Program.		14-235
6/10/14	NYMEX	Modifications to the NYMEX Natural Gas and Power Incentive Program for Commercial Firms.		14-203
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-203S

6/10/14	NYMEX	Modifications to the NYMEX Natural Gas and Power Incentive Program for Hedge Funds.		14-204
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-204S
6/10/14	NYMEX	Modifications to the NYMEX Commercial Brent Spread Incentive Program.		14-198
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-198S
6/10/14	NYMEX	Modifications to the Brent Futures and Inter-Commodity Spread Market Maker Program.		14-196
6/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-196S
6/10/14	CME	Additional exchange-traded fund as acceptable performance bond for Base guaranty fund Products.		14-220
6/9/14	NYMEX/COMEX	Modifications to the Combined Energy & Metals Globex Volume Incentive Program for High Volume Contributors.		14-189
6/9/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA		14-189S
6/9/14	NYMEX/COMEX	Modifications to the Energy & Metals ETH & Back Month Globex Volume Incentive Program for High Volume Contributors.		14-187
6/9/14	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA		14-187S
6/9/14	NYMEX	Modifications to the NYMEX Brent Liquidity Provider Program.		14-197
6/9/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-197S
6/9/14	NYMEX	Modifications to the Energy Natural Gas Cross-Venue Incentive Program for High Volume Participants.		14-209
6/9/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-209S
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Rebate Incentive Program.		14-193
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program.		14-192
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-192S
6/6/14	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program.		14-191

6/6/14	NYMEX	Extension of the NYMEX Brent Crude Oil Last Day Financial Futures Fee Waiver Program.		14-200
6/6/14	NYMEX	Modifications to the NYMEX European and Asian Petroleum Products Incentive Program.		14-213
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-213S
6/6/14	NYMEX	Expansion of listing schedule for the Australian Coking Coal (Platts) Low Volume Futures contract.		14-233
6/6/14	NYMEX	Extension of the NYMEX European Carbon Products Globex Incentive Program.		14-211
6/6/14	NYMEX/COMEX	Extension of the Volume Incentive Program for NYMEX and COMEX Metals Products.		14-210
6/6/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA		14-210S
6/6/14	NYMEX	Modifications to the NYMEX European Coal and Dry Freight Incentive Program		14-206
6/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-206S
6/6/14	NYMEX	Amendments to ninety-one (91) Electricity futures contracts.		14-177
6/5/14	CME	Listing of six (6) American-style weekly options on the Australian Dollar/U.S. Dollar, Canadian Dollar/U.S. Dollar, British Pound Sterling/U.S. Dollar, Euro/U.S. Dollar, Japanese Yen/U.S. Dollar, and Swiss Franc/U.S. Dollar futures contracts.		14-227
6/5/14	NYMEX	Modifications to the NYMEX Energy Products Resting Order Incentive Program.		14-188
6/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-188S
6/5/14	COMEX	Weekly notification of rule amendments made effective during the week of May 26, 2014.		14-231 EXHIBIT C
6/5/14	CME	Weekly notification of rule amendments made effective during the week of May 26, 2014.		14-230
6/5/14	CME	Implementation of the S&P 500 Floor Broker Incentive Program.		14-214
6/5/14	CME	Extension of the CME OTC FX Fee Waiver Program.		14-216
6/5/14	NYMEX	Extension of the NYMEX International Firm Volume Incentive Program.		14-186
6/5/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-186S

6/4/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-225S
6/4/14	NYMEX	Modifications to the listed fees for certain North American crude and refined futures products.		14-226 EXHIBIT 1
6/4/14	CME	Withdrawal of CME Submission No. 14-165		14-165W
5/30/14	CBOT	Amendments to Soybean Board Crush Spread Options with open interest.		14-183
5/30/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of May 19, 2014.		14-205 EXHIBIT B
5/30/14	CME/CBOT	Amendments for correction of errata in the CME and CBOT rulebooks relating to Equity Index futures and options on Equity Index futures.		14-190 APPENDIX B
5/30/14	CME/CBOT	Extension of OTC interest rate swaps denominated in MXN to 21 years.		14-215
5/30/14	CME	Amendments to five (5) CME Cleared OTC Foreign Exchange Spot, Forwards and Swaps Contracts.		14-175
5/30/14	CME	Amendments to CME Globex trading hours for Random Length Lumber futures and options contracts.		14-174
5/30/14	CME CLEARING	Weekly notification of rule amendments made effective during the week of May 19, 2014.		14-212
5/28/14	CBOT	Implementation of USD Deliverable Swap Futures Volume Incentive Program.		14-154
5/28/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA		14-154S
5/28/14	NYMEX/COMEX	REVISED: Implementation of a new fee schedule that supports the CME Direct Agency Broker Cross transaction type.		14-163R EXHIBIT 1
5/23/14	CBOT	Non-Material Agricultural Rule Change: Amendments to Options on Intercommodity Wheat Futures Spreads.		14-201R
5/23/14	CME CLEARING	Notification of rule amendments made effective during the week of May 12, 2014.		14-195
5/22/14	CME/CBOT/NYMEX/COMEX	Revisions to NYMEX and COMEX Rule 539 and the issuance of CME Market Regulation Advisory Notice RA1403-5.		14-184 EXHIBIT B
5/19/14	NYMEX	Delisting of nineteen (19) petroleum and refined futures and options contracts.		14-168 APPENDIX A
5/16/14	CME CLEARING	Notification of rule amendments made effective during the week of May 5, 2014.		14-179
5/15/14	CME/NYMEX/COMEX	Implementation of the Cross-Product Option Market Maker Program.		14-176

5/15/14	NYMEX	Implementation of the Non-Core Crude and Refined Liquidity Provider Program.		14-171
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-171S
5/15/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of May 5, 2014.		14-170 EXHIBIT B
5/15/14	NYMEX	Modifications to the NYMEX European and Asian Fuel Oil Broker Incentive Program		14-159
5/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-159S
5/15/14	CME	Modifications to the E-mini S&P Select Sector Index Futures Market Maker Program.		14-146
5/15/14	CME	SUPPLEMENTAL FILING UNDER FOIA		14-146S
5/15/14	CME	Modifications to the Globex Give Up Fee Waiver Program for CME Short-Term Interest Rate (STIR) Options.		14-158
5/15/14	CBOT	Implementation of the Fed Fund Options Floor Broker Pool Program.		14-152
5/13/14	NYMEX	Modifications to the NYMEX Natural Gas Basis ClearPort Fee Discount Program.		14-160
5/12/14	CME/CBOT/NYMEX	Modifications to the New Trader Incentive Program.		14-080
5/8/14	NYMEX/COMEX	Weekly notification of rule amendments that were made effective during the week of April 28, 2014.		14-164 EXHIBIT D EXHIBIT F
5/8/14	CBOT	Weekly notification of rule amendments that were made during the week of April 28, 2014.		14-162
5/8/14	CME	Weekly notification of rule amendments that were made effective during the week of April 28, 2014.		14-161
5/5/14	CME	New rule to allow for compression of IRS Contracts by coupon blending.		14-157
5/2/14	CBOT	Amendments to variable price limits for grain and oilseed futures contracts.		14-153
5/2/14	CBOT	Delisting CBOT Euro Interest Rate Swap (IRS) futures contracts from the CBOT trading floor venue.		14-151
5/2/14	CME CLEARING	Notification of rule amendments made effective during the week of April 21, 2014.		14-155
5/2/14	NYMEX	Increasing position limits and accountability levels for eighteen (18) natural gas contracts.		14-144 APPENDIX A
5/1/14	COMEX	Initial listing of a physically-delivered aluminum		14-138

4/29/14	CBOT	Weekly notification of rule amendments that were made effective during the week of April 21, 2014.		14-150
4/28/14	NYMEX	REVISED: Implementation of the LN & OS Options Market Maker Program.		14-133R
4/25/14	CME SEF	Extension of the fee waiver for energy and metals cleared swaps.		14-132
4/25/14	CME CLEARING	Notification of rule amendments made effective during the week of April 14, 2014.		14-149
4/24/14	NYMEX	REVISED: Modifications to the Energy Options RFQ Incentive Program.		14-131R
4/24/14	CBOT	Weekly notification of rule amendments that were made effective during the week of April 14, 2014.		14-147
4/21/14	NYMEX/COMEX	Revisions to NYMEX/COMEX Rulebook Chapter 7.		14-140 EXHIBIT A
4/21/14	COMEX	Implementation of the Aluminum Futures Volume Incentive Program.		14-142
4/21/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA		14-142S
4/21/14	COMEX	Implementation of the Aluminum Futures Market Maker Program.		14-141
4/21/14	COMEX	SUPPLEMENTAL FILING UNDER FOIA		14-141S
4/21/14	NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1404-4 concerning the establishment of a block trade minimum threshold in one (1) new product.		14-139
4/17/14	CME CLEARING	Notification of rule amendments made effective during the week of April 7, 2014.		14-143
4/17/14	NYMEX	Notification of rule amendments that were made effective during the week of April 7, 2014.		14-137
4/17/14	COMEX	Notification of rule amendments that were made effective during the week of April 7, 2014.		14-136 EXHIBIT C
4/17/14	CME	Notification of rule amendments that were made effective during the week of April 7, 2014.		14-135
4/17/14	CBOT	Notification of rule amendments that were made effective during the week of April 7, 2014.		14-134
4/17/14	NYMEX	Amendments to the Floating Price Reference for the Gasoil 0.1 Cargoes CIF Med (Platts) vs. Gasoil futures contract.		14-107
4/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-133S
4/15/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-131S

4/11/14	CME	Changes to the log shocks of the margin model for OTC IRS.		14-129
4/11/14	CME	SUPPLEMENTAL FILING UNDER FOIA		14-129S
4/11/14	CME CLEARING	Notification of rule amendments made effective during the week of March 31, 2014.		14-128
4/11/14	NYMEX	REVISED: Listing of Crude Oil Weekly Option and Natural Gas Weekly Option contracts for trading		14-088R APPENDIX B
4/11/14	NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1403-4 concerning the establishment of a block trade minimum threshold in three (3) new products.		14-124
4/11/14	NYMEX	Listing of two (2) Dubai crude oil futures contracts.		14-078 APPENDIX B
4/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-078S
4/11/14	NYMEX	Initial listing of one (1) crude oil crack spread futures contract.		14-117 APPENDIX B
4/11/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-117S
4/10/14	CME/CBOT/NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1402-5 concerning changes to the deadline for Position Change Submission adjustments.		14-123
4/9/14	COMEX	Weekly notification of rule amendments that were made effective during the week of March 31, 2014.		14-126
4/9/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of March 31, 2014.		14-125
4/4/14	CME	REVISED: Listing of twelve (12) futures and options on futures contracts for the following indexes - CME Hurricane Index (CHI) Seasonal, CME Hurricane Index (CHI) Seasonal Maximum, CME Hurricane Index (CHI) Seasonal Cat-In-A-Box, CME Hurricane Index (CHI) Seasonal Maximum Cat-In-A-Box, CME Hurricane Index (CHI) Second Event Seasonal Maximum and Second Event Seasonal Maximum Cat-In-A-Box		14-074RR APPENDIX B APPENDIX C
4/4/14	CME CLEARING	Notification of rule amendments made effective during the week of March 24, 2014.		14-122
4/4/14	CME	Issuance of CME Market Regulation Advisory Notice RA1401-2 concerning the registration and identification of broker associations.		14-120
4/3/14	CME	Withdrawal of CME Submission No. 14-106 (S&P 500 Floor Broker Incentive Program).		14-106W
4/3/14	COMEX	Weekly notification of rule amendments that were made effective during the week of March 24, 2014.		14-118

3/31/14	CME	Modification to the manner in which S&P GSCI Commodity Index futures daily settlement prices are determined.		14-099
3/28/14	CME	REVISED: Amendments to position limits and position accountability levels of Cleared Over-the-Counter Foreign Exchange Spot, Forward and Swap Contracts.		14-103R APPENDIX A
3/28/14	CME	Self-certification of two (2) CME Clearing Advisory Notices concerning acceptable collateral for guaranty fund deposits and the categorization of performance bond collateral.		14-114
3/28/14	NYMEX/COMEX	Establishment of a block trade minimum threshold in five (5) new products and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1402-4.		14-105
3/28/14	COMEX	Listing of Gold Weekly Option, Silver Weekly Option, and Copper Weekly Option contracts for trading		14-098 APPENDIX B
3/28/14	CME/CBOT/NYMEX	REVISED: Application of excess defaulting clearing member assets in crossover default scenarios; and harmonization of Defaulted Base Clearing Member Collateral definition.		14-097R
3/28/14	CME CLEARING	Clearing house notification of rule amendments made effective during the week of March 17, 2014.		14-115
3/28/14	NYMEX	Expansion of the contract month listing schedule of the Coal (API 8) cfr South China (Argus/McCloskey) Futures contract.		14-063
3/28/14	NYMEX	Amendments to NYMEX Rule 712 ("Prices and Fluctuations") of the European Gasoil (100mt) Bullet Futures contract.		14-054
3/27/14	CME/CBOT/NYMEX/COMEX	Withdrawal of CME/CBOT/NYMEX Submission Nos. 13-565 (Adoption of new rules for liquidity risk management) and 13-565R (REVISED – Adoption of new rules for liquidity risk management).		13-565W
3/27/14	CME	Amendments to Euro/British Pound Sterling (EUR/GBP) Cross Rate Futures, Euro/Swiss Franc (EUR/CHF) Cross Rate Futures, and British Pound Sterling/Swiss Franc (GBP/CHF) Cross Rate Futures contracts to amend the final settlement price procedures		14-112
3/26/14	NYMEX	Weekly notification of rule amendments that were made effective during the week of March 17, 2014.		14-113
3/24/14	CBOT	REVISED: Listing of Mini-Sized KC HRW Wheat Futures.		14-094R APPENDIX G
3/21/14	CME	Amendments to the terms and conditions of CME Three-Month Eurodollar futures and CME One-Month Eurodollar futures.		14-090
3/21/14	CME/CBOT/NYMEX/COMEX	Revisions to CME/CBOT/NYMEX/COMEX Rule 854.		14-100
3/21/14	CME CLEARING	Notification of rule amendments made effective		14-108

2/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-085S
3/17/14	CME	Delisting of CME E-Mini Three-Month Eurodollar futures contract.		14-086 APPENDIX 2
3/16/14	NYMEX	Amendments to the final settlement for two (2) Chicago Unleaded Gasoline (Platts) Futures contracts		14-095 APPENDIX C
3/14/14	CME	REVISED Amendments to CME Rule 281H.02.A. of the Cleared OTC U.S. Dollar/Indonesian Rupiah (USD/IDR) Spot, Forwards and Swaps contracts, effective on Thursday, March 27, 2014 for trade date Friday, March 28, 2014.		14-091R
3/14/14	CME CLEARING	Notification of rule amendments made effective during the week of March 3, 2014.		14-096
3/13/14	CME	Notification of rule amendments that were made effective during the week of March 3, 2014.		14-092
3/12/14	CME	Acceptance of CDX North American Investment Grade Index Series 22 ("CDX IG 22") and CDX North American High Yield Index Series 22 ("CDX HY 22") for clearing. Additionally, CME is hereby notifying the Commission of the removal from the list of accepted CDX Indices, certain products whose termination dates have passed.		14-066
3/12/14	CME	Rule changes clarifying the obligations of CME's clearing division ("CME Clearing") for physical deliveries.		14-077
3/12/14	CME/CBOT	Modifications to the E-mini Equity Index Futures Market Maker Program.		14-084
3/12/14	CME	REVISED: Implementation of the Nikkei 225 Yen-Based Market Maker Program.		14-069R
3/11/14	CME	implementation of the Mexican Peso (MXN) Over-the-Counter (OTC) Interest Rate Swaps (IRS) House Fee Waiver Program.		14-082
3/7/14	CME	Listing of Options on Yen Denominated Nikkei Stock Average futures contract		14-068 APPENDIX B
3/7/14	CME	Expansion of listing cycle for Onshore Chinese Renminbi/U.S. Dollar (RMB/USD) futures contract		14-036
3/7/14	CME	Amendments to termination of trading rules for Onshore Chinese Renminbi/Euro Futures and Onshore Chinese Renminbi/Japanese Yen futures contracts.		14-040
3/7/14	CME	Amendments to listing schedule and increase in minimum number of out-of-the-money strike prices for weekly options on NASDAQ 100 Index and weekly options on E-mini NASDAQ 100 Index Futures contracts.		14-065

3/3/14	CME	Delisting of SGI WISE US Top Portfolio Index futures, SGI WISE US Bottom Portfolio Index futures, and SGI WISE US Long/Short Portfolio Index futures contracts.		14-061
2/28/14	CME/CBOT	Revisions to Daily and Final Settlement Procedures Documents.		14-075
2/28/14	CME	REVISED: Amendments to the delivery specifications and procedures for the Live Cattle Futures contract.		14-071R
2/28/14	CME	Amendments to the CBOT Rulebook to implement variable price limits for grain and oilseeds futures and to eliminate price limits on grain and oilseeds options.		14-062
2/28/14	CME	Amendments to the delivery specifications and procedures for the Live Cattle Futures contract		14-071
2/28/14	CME CLEARING	Notification of rule amendments made effective during the week of February 17, 2014.		14-079
2/28/14	CBOT	Amendments to the CBOT Dow Jones-UBS Commodity Index Futures and Dow Jones-UBS Roll Select Commodity Index Futures contracts		14-070
2/28/14	CME/CBOT/NYMEX/COMEX	REVISED: Amendments to CME/CBOT/NYMEX/COMEX Rules 770, 853, and 854.		14-028R
2/12/14	CME/CBOT/NYMEX	REVISED: Adoption of Rule 815 ("Third Party Execution Platforms for Swaps")		14-060R
2/21/14	CBOT	Listing of 2-Year, 5-Year, and 10-Year Euro Interest Rate Swap Futures		14-051 APPENDIX 2
2/21/14	CBOT	Implementation of the Euro Deliverable Swap Future Market Making Program.		14-067
2/21/14	CBOT	SUPPLEMENTAL FILING UNDER FOIA		14-067S
2/21/14	CME CLEARING	Notification of rule amendments made effective during the week of February 10, 2014.		14-072
2/20/14	CBOT	Amendments to 67 CBOT product chapters in connection with CFTC core principle 7 ("Availability of General Information") compliance. THIRD REVISION: Modified eleven of the original 67 product chapters to continue to include information regarding "Limit on Holdings of Registered and Outstanding Shipping Certificates."		14-015RRR
2/14/14	NYMEX	REVISED: Modifications to Crude and Refined Futures Market Maker Program.		14-047R
2/14/14	CME CLEARING	Rule amendments made effective during the week of February 3, 2014.		14-064
2/13/14	CBOT	Modifications to KC HRW Wheat Incentive Program.		14-056

2/10/14	NYMEX	Modifications to the NYMEX Crude and Refined Calendar Spread Options Incentive Program.		14-041
2/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-041S
2/10/14	CME/CBOT/NYMEX/COMEX	Revisions to CME/CBOT/NYMEX/COMEX Rule 508.		14-027
2/7/14	CBOT	Revisions to CBOT Treasury futures daily and final settlement price documents available on the CME Group website.		14-046
2/7/14	CME	Extension of the Weather Futures Market Maker Program.		14-052
2/7/14	CME CLEARING	Clearing house division notification of rule amendments made effective during the week of January 27, 2014.		14-055
2/6/14	CME	Notification of rule amendments made effective during the week of January 27, 2014.		14-050
2/6/14	NYMEX	Notification of rule amendments made effective during the week of January 27, 2014.		14-049
2/6/14	CBOT	Notification of rule amendments made effective during the week of January 27, 2014.		14-048
2/6/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-047S
2/5/14	CME/CBOT/NYMEX/COMEX	Adoption of Rule 537 ("Trade Data Submitted to the Exchange")		14-030
2/3/14	CME	Amendments to CME Rule 704 and the addition of an Appendix to CME Rule Chapter 7.		14-045
1/31/14	CME CLEARING	Notification of rule amendments made effective during the week of January 20, 2014.		14-044
1/31/14	CME	Withdrawal of Submission No. 14-029		14-029W
1/30/14	CME	Amendments to CME Rule 106.I. ("Affiliate Member Firm") and CME Rule 106.J. ("Equity Member Firm") regarding required registration for Qualified Affiliates and Subsidiaries.		14-037
1/30/14	CBOT	Notification of rule amendments that were made effective during the week of January 20, 2014		14-042
1/29/14	CME/CBOT/NYMEX/COMEX	Modifications to the Central Bank Incentive Program		14-038
1/27/14	CME	Delisting of twelve (12) CME hurricane contracts.		14-035 APPENDIX A
1/24/14	NYMEX	Amendments to Rule 704.B to implement an approval process for proposed storage or handling fee changes by a regular facility effective on		14-034 EXHIBIT B

1/22/14	NYMEX	REVISED: Declaration of Force Majeure pursuant to NYMEX Rule 7B01 (Declarations of Force Majeure) with respect to the Union Registry's announcement of a closure on Jan 29, 2014.		14-022R
1/21/14	NYMEX	Delisting of two (2) NYMEX heating oil futures contracts.		14-025 APPENDIX A
1/17/14	NYMEX	Delisting certain contract days for Daily European Union Allowance (EUA) futures as result of UK Registry closure on Jan 29, 2014.		14-021
1/17/14	NYMEX	Modifications to the Crude and Refined Futures Market Maker Program.		14-008
1/17/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-008S
1/17/14	CME CLEARING	Notification of clearing house division rule amendments made effective during the week of January 6, 2014.		14-024
1/16/14	NYMEX	Modifications to the Brent Futures and Inter-Commodity Spread Market Maker Program.		14-019
1/16/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-019S
1/15/14	NYMEX	Amendments to the product titles and floating price rules for two (2) group three unleaded gasoline (Platts) futures contracts		14-020 APPENDIX C
1/15/14	NYMEX	Amendments to increase position limits and accountability levels for 177 existing NYMEX electricity futures and option contracts		14-017 APPENDIX A
1/15/14	COMEX	Notification of rule amendments that were made effective during the week of January 6, 2014.		14-016
1/15/14	CBOT	Notification of rule amendments that were made effective during the week of January 6, 2014.		14-014
1/15/14	CME	Notification of rule amendments that were made effective during the week of January 6, 2014.		14-013
1/15/14	NYMEX	Notification of rule amendments that were made effective during the week of January 6, 2014.		14-012 EXHIBIT 4
1/15/14	CME SEF	Amendments to Swap Execution Facility ("SEF") Division rules in compliance with recent guidance and no-action relief issued by divisions within the CFTC.		14-018
1/10/14	NYMEX	Modifications to 2014 NYMEX Natural Gas Options Incentive Program.		14-004
1/10/14	NYMEX	SUPPLEMENTAL FILING UNDER FOIA		14-004S
1/10/14	NYMEX	Modifications to 2014 NYMEX Crude and Refined Options Incentive Program.		14-003

1/9/14	CME	Amendments to the listing and fee schedules for the S&P GSCI-ER Index futures contract.		14-007
1/8/14	NYMEX/COMEX	Modifications to the Cross-Venue Energy and Metals Products Incentive Program for High Volume Contributors.		14-006
1/3/14	CME CLEARING	Notification of rule amendments made effective during the week of December 23, 2013.		14-002
1/2/14	NYMEX	Amendments to the floating price and final settlement rules for eight (8) western electricity futures contracts based on the Mid-Columbia and Palo Verde trading points		14-001 APPENDIX B
1/2/14	CME	Weekly notification of rule amendments that were made effective during the week of December 23, 2013.		13-592
1/2/13	CME	Revisions to CME Rule 8G802.B.2 (IRS Product Limited Recourse).		13-590